

PATENT  
DOCKET NO.: 28091/220

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Joseph H. KAAL; Craig S. THORLEY; Damien JUDD

Cnfrm. No.: 3518

Examiner:  
Diva Ranade

U.S. National

Serial No.: 10/587,705

Art Unit:  
3763

371 Filing

Date : October 3, 2006

PCT International

Application No.: PCT/AU05/00107

Filed: January 28, 2006

For: RETRACTABLE SYRINGE WITH PLUNGER  
DISABLING SYSTEM

REQUEST FOR REFUND OF FEES PAID UNDER 37 C.F.R. § 1.26

Commissioner for Patents

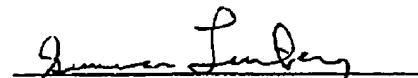
P.O. Box 1450  
Alexandria, VA 22313-1450  
Dear Sir:

This Request for Refund of Fees Paid, pursuant to 37.C.F.R. § 1.26, is being made because the fee (\$1,110) for filing Petition for Three-Month Extension of Time on August 25, 2009 was inadvertently paid as a large entity. Applicants claimed small entity status when filing the U.S. National Patent Application, and the status has not changed. Also, the filing receipt, mailed July 8, 2008, indicates small entity status. Enclosed is a copy of the deposit account statement for August 2009, showing the charge of \$1,110.00 for Attorney Docket Number 28091/220 - see page 3, date August 26, 2009, SEQ 3251.

Applicants respectfully request a refund in the amount \$555 (half of the \$1,110 fees paid for the Petition for Three-Month Extension of Time) to be credited to the undersigned attorney's deposit account 14-1138.

Respectfully submitted,

Date: September 18, 2009

  
Gunnar G. Leinberg  
Registration No. 35,584

NIXON PEABODY LLP  
1100 Clinton Square  
Rochester, New York 14604  
Telephone: (585) 263-1014  
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12710951.2



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**Deposit Account Statement**

**Requested Statement Month:** August 2009  
**Deposit Account Number:** 141138  
**Name:** NIXON PEABODY LLP  
**Attention:** MICHAEL L. GOLDMAN  
**Street Address 1:** CLINTON SQUARE  
**Street Address 2:** P O BOX 31051  
**City:** ROCHESTER  
**State:** NY  
**Zip:** 14603-1051  
**Country:** UNITED STATES

DATE SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/03 3207	61178760	201160-100	8021	\$40.00	\$42,
08/03 3356	10984406	19603/4581 (CRF D-3357-02 2253		\$555.00	\$42,
08/03 6509	61230470	57953/1770	2005	\$110.00	\$42,
08/03 9876	11843239	4484/131	2251	\$65.00	\$42,
08/03 9875	11843239	4484/131	2202	\$104.00	\$42,
08/03 9877	11843239	4484/131	2801	\$405.00	\$41,
08/04 4484	11962446	019603-004067	1814	\$140.00	\$41,
08/04 5223	11564602	45271-100	2801	\$405.00	\$41,
08/05 21	61273310	1819/101210	2005	\$110.00	\$40,
08/06 676	09738003	812495-000140 (10.220)	1253	\$1,110.00	\$39,
08/06 675	09738003	812495-000140 (10.220)	1201	\$660.00	\$39,
08/06 4269	10847142	21829/197 (EBC-005)	2253	\$555.00	\$38,
08/06 4270	10847142	21829/197 (EBC-005)	2401	\$270.00	\$38,
08/06 4352	60653821	2809-1920	8021	\$40.00	\$38,
08/06 4737	60821646	2809-1950	8021	\$40.00	\$38,
08/06 5059	60821650	2809-1970	8021	\$40.00	\$38,
08/06 5402	11834909	2809-1971	8021	\$40.00	\$38,
08/06 5839	61020530	2809-1980	8021	\$40.00	\$38,
08/06 6215	61048677	2809-1981	8021	\$40.00	\$38,
08/06 6505	60951201	2809-1990	8021	\$40.00	\$38,
08/06 6744	12176144	2809-1991	8021	\$40.00	\$38,
08/06 12	12294593	19603-5302	2681	-\$130.00	\$38,
08/06 64	12471376	31916/1232	2051	-\$65.00	\$38,
08/06 14475	11421658	34186/1064	1504	\$300.00	\$37,
08/06 14474	11421658	34186/1064	2501	\$755.00	\$37,
08/07 1104	12536750	46770/251	2311	\$110.00	\$37,
08/07 1103	12536750	46770/251	2111	\$270.00	\$36,
08/07 1102	12536750	46770/251	4011	\$82.00	\$36,

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## Deposit Account Statement

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08/07 1106	12536750	46770/251	2202	\$338.00	\$36,
08/07 1562	12414415	812495/10260 (3193/106C1)	1311	\$220.00	\$36,
08/07 1561	12414415	812495/10260 (3193/106C1)	1111	\$540.00	\$35,
08/07 1560	12414415	812495/10260 (3193/106C1)	1011	\$330.00	\$35,
08/07 1563	12414415	812495/10260 (3193/106C1)	1051	\$130.00	\$35,
08/07 1564	12414415	812495/10260 (3193/106C1)	1252	\$490.00	\$34,
08/07 1849	11900208	043927-004056USC1	1251	\$130.00	\$34,
08/07 1994	61088195		8007	\$20.00	\$34,
08/07 3722	PCT/US09/52978	46770-252	1601	\$240.00	\$34,
08/07 3723	PCT/US09/52978	46770-252	1602	\$2,080.00	\$32,
08/07 3724	PCT/US09/52978	46770-252	1701	\$1,095.00	\$31,
08/07 3725	PCT/US09/52978	46770-252	1703	\$195.00	\$30,
08/10 1358	11838616	176/61444	2201	\$110.00	\$30,
08/10 1355	11838616	176/61444	2202	\$26.00	\$30,
08/10 2173	PCT/US09/42565	176/62372 (1639)	1703	\$351.00	\$30,
08/10 3601	12537694	20564-1011	2111	\$270.00	\$30,
08/10 3602	12537694	20564-1011	2311	\$110.00	\$30,
08/10 3600	12537694	20564-1011	4011	\$82.00	\$29,
08/10 12	10043413	812495-010101 (3193/102)	1461	\$755.00	\$29,
08/10 13	12268609	812495-010201 (3193/134)	1461	\$1,248.00	\$27,
08/10 14	12268601	812495-010171 (3193/132)	1461	\$1,248.00	\$26,
08/10 15	12268573	812495-010211 (3193/135)	1461	\$1,534.00	\$25,
08/10 16	12268575	812495-010221 (3193/136)	1461	\$1,508.00	\$23,
08/10 10	61273310	1819/101210	8021	\$40.00	\$23,
08/10 11050	12471376	31916-1232	8021	\$40.00	\$23,
08/11 2799	12538463	2253-131	1111	\$540.00	\$23,
08/11 2800	12538463	2253-131	1311	\$220.00	\$22,
08/11 2798	12538463	2253-131	1011	\$330.00	\$22,
08/11 3545	10552110	20747/300	1253	\$1,110.00	\$21,
08/11 5482	12538610	002308-002073	2202	\$26.00	\$21,
08/11 5480	12538610	002308-002073	2111	\$270.00	\$21,
08/11 5479	12538610	002308-002073	4011	\$82.00	\$21,
08/11 5481	12538610	002308-002073	2311	\$110.00	\$20,
08/11 5922	10979526	19603/4551 (CRF D-3344-02	2253	\$555.00	\$20,
08/11 5923	10979526	19603/4551 (CRF D-3344-02	2401	\$270.00	\$20,
08/12 1570	61166211	57953-1810	8021	\$40.00	\$20,
08/12 1945	12499961	20959-2670	8021	\$40.00	\$20,
08/12 2462	12526696	176-62202 (6-1549)	2631	\$165.00	\$19,
08/12 2463	12526696	176-62202 (6-1549)	2641	\$50.00	\$19,
08/12 2464	12526696	176-62202 (6-1549)	2633	\$110.00	\$19,
08/12 2465	12526696	176-62202 (6-1549)	2615	\$52.00	\$19,
08/12 2466	12526696	176-62202 (6-1549)	2614	\$110.00	\$19,
08/12 2467	12526696	176-62202 (6-1549)	2453	\$810.00	\$18,
08/12 5393	10934894	19603/4461 (CRF D-3107-02	2251	\$65.00	\$18,
08/13 570	E-REPLENISHMENT		9203	-\$40,000.00	\$58,
08/14 7662	10336784	812495-010112 (3193/108)	1801	\$810.00	\$57,
08/14 13829	61.101831	176-62630	8021	\$40.00	\$57,
08/17 281	81122551	176-62660	8021	\$40.00	\$57,
08/17 788	61095990	176-62600	8021	\$40.00	\$57,
08/17 1234	61079204	176-62580	8021	\$40.00	\$57,

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08/17 2593	12520401	2308-800	8021	\$40.00	\$57.
08/18 1463	11369118	19603/4672 (CRF D-1595-23	2252	\$245.00	\$57.
08/18 1464	11369118	19603/4672 (CRF D-1595-23	2801	\$405.00	\$57.
08/18 6038	12542424	201835/1117	1014	\$330.00	\$56.
08/18 6039	12542424	201835/1117	1114	\$540.00	\$56.
08/18 6040	12542424	201835/1117	1314	\$650.00	\$55.
08/18 10026	PCT/US09/54097	19803-6031	1703	\$767.00	\$54.
08/18 10025	PCT/US09/54097	19603-6031	1701	\$1,095.00	\$53.
08/18 10024	PCT/US09/54097	19603-6031	1602	\$2,080.00	\$51.
08/18 10023	PCT/US09/54097	19603-6031	1601	\$240.00	\$51.
08/18 11746	11338034	20959/2450 (P 69216)	1504	\$300.00	\$51.
08/18 11744	11338034	20959/2450 (P 69216)	1501	\$1,510.00	\$49.
08/19 2302	11037550	043927-004001USC1	1253	\$1,110.00	\$48.
08/19 2754	11526426	48283-210	1814	\$140.00	\$48.
08/19 2753	11526426	48283-210	1253	\$1,110.00	\$47.
08/19 3134	PCT/US09/54157	44799-171	1601	\$240.00	\$46.
08/19 3135	PCT/US09/54157	44799-171	1602	\$2,080.00	\$44.
08/19 3136	PCT/US09/54157	44799-171	1701	\$1,095.00	\$43.
08/19 3137	PCT/US09/54157	44799-171	1703	\$416.00	\$43.
08/19 3258	PCT/US09/54159	44799-181	1703	\$104.00	\$43.
08/19 3257	PCT/US09/54159	44799-181	1701	\$1,095.00	\$42.
08/19 3255	PCT/US09/54159	44799-181	1601	\$240.00	\$41.
08/19 3256	PCT/US09/54159	44799-181	1602	\$2,080.00	\$39.
08/19 5852	11615742	44599/1031	1201	\$220.00	\$39.
08/19 5853	11615742	44599/1031	1801	\$810.00	\$38.
08/19 10977	12522657	2809-1942	8021	\$40.00	\$38.
08/19 11076	12522709	2809-2002	8021	\$40.00	\$38.
08/20 1653	0210500887		8014	\$25.00	\$38.
08/20 1654	0212530132		8014	\$25.00	\$38.
08/20 2348	11385058	20959/2470 (P 69851)	1801	\$810.00	\$37.
08/20 4849	10301931	1508/3870	1811	\$100.00	\$37.
08/20 14189	12483829	200813/181	2051	\$65.00	\$37.
08/21 2649	10895791	3213/111	2253	\$555.00	\$37.
08/21 5254	12483829	200813-181	8021	\$40.00	\$37.
08/21 30	12538610	002308-002073	2202	-\$26.00	\$37.
08/24 10702	10520613	027419-180	1253	\$1,110.00	\$35.
08/25 3317	11732492	812495/010031 (10.0930)	1252	\$490.00	\$35.
08/25 3528	11101005	32643/111	2253	\$555.00	\$34.
08/25 3527	11101005	32643/111	2202	\$78.00	\$34.
08/25 4665	11696519	46600-2	2501	\$755.00	\$34.
08/25 4666	11696519	46600-2	1504	\$300.00	\$33.
08/25 16941	11284265	19603/4812 (CRF D-3501-03	1501	\$1,510.00	\$32.
08/25 16942	11284265	19603/4812 (CRF D-3501-03	1504	\$300.00	\$31.
08/26 2631	10006760	176/60901 (6-11402-968)	2501	\$755.00	\$31.
08/26 2632	10006760	176/60901 (6-11402-968)	1504	\$300.00	\$30.
08/26 3251	10587705	2809/1220	1253	\$1,110.00	\$29.
08/26 3252	10587705	2809/1220	1806	\$180.00	\$29.
08/26 3254	11445776	27419/151 (4308PTUS/ER)	2252	\$245.00	\$29.
08/26 6908	10511480	20747/210	1251	\$130.00	\$29.
08/26 6909	10511480	20747/210	1806	\$180.00	\$29.

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08/26 1	PCT/US09/54157	44799-171	1703	\$13.00	\$29.
08/26	10180 61174685	1819-101180	8021	\$40.00	\$29.
08/27 2925	10587782	28091/210	2253	\$555.00	\$28.
08/27 3411	10790456	176/61481 (1-11027-03034)	2501	\$755.00	\$27.
08/27 3410	10790456	176/61481 (1-11027-03034)	1464	\$130.00	\$27.
08/27 3412	10790456	176/61481 (1-11027-03034)	1504	\$300.00	\$27.
08/27 5495	US0939855	19603-5901	8021	\$40.00	\$27.
08/27 13418	10584871	176/61732	2254	\$865.00	\$26.
08/28 10413	10584875	176/81752	1504	\$300.00	\$26.
08/28 10412	10584875	176/81752	2501	\$755.00	\$25.

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$42,918.00	\$57,814.00		\$40,221.00 \$25,325.00

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<b>Electronic Patent Application Fee Transmittal</b>				
<b>Application Number:</b>	10587705			
<b>Filing Date:</b>	03-Oct-2006			
Adjustment date: 09/23/2009 SDIRETA1 08/26/2009 INTFSW 00002109 141138 10587705 01 FC:1253 1110.00 CR				
<b>Title of Invention:</b>	Retractable Syringe with Plunger Disabling System			
09/23/2009 SDIRETA1 00000004 141138 10587705 01 FC:1253 555.00 DA				
<b>First Named Inventor/Applicant Name:</b>	Joseph Hermes Kaal			
<b>Filer:</b>	Gunnar G. Leinberg/Sherri Moscato			
<b>Attorney Docket Number:</b>	28091/220			
Filed as Large Entity				
<b>U.S. National Stage under 35 USC 371 Filing Fees</b>				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
<b>Miscellaneous-Filing:</b>				
<b>Petition:</b>				
<b>Patent-Appeals-and-Interference:</b>				
<b>Post-Allowance-and-Post-Issuance:</b>				
<b>Extension-of-Time:</b>				
Extension - 3 months with \$0 paid	1253	1	1110	1110